

**NATIONAL PHASE
UTILITY APPLICATION**
Transmittal to the (DO/EO/US)
Under 35 USC 371

Attorney Docket No.: WATAB5.001APC
First Named Inventor: Masayoshi SUZUKI
Int'l Application No.: PCT/JP2004/017065
Int'l Filing Date: November 17, 2004
Priority Date Claimed: November 19, 2003
Title: OPTICAL CONNECTION STRUCTURE AND OPTICAL
CONNECTION METHOD
Express Mail Label No.: EV 319018531 US

Direct all correspondence to Customer No.: 20995

Date: May 16, 2006
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P.O. Box 1450
Alexandria VA 22313-1450

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventors:

1. Masayoshi SUZUKI
2. Kyoichi SASAKI
3. Tatsushi KOBAYASHI

APPLICATION ELEMENTS:

- (X) This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- (X) A copy of the International Application as filed (35 U.S.C. § 371(c)(2)) has been communicated by the International Bureau.
- (X) An English translation of the International Application as filed is attached hereto.
- (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been and will not be made.
- (X) Declaration and Power of Attorney in two (2) pages.

OTHER APPLICATION PARTS:

- (X) Preliminary Amendment in eight (8) pages.
- (X) Twenty six (26) sheets of Drawings.
- (X) Assignment and Recordation Form Cover Sheet in three (3) pages.
- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
 - (X) Listing eleven (11) references.
 - (X) Enclosing eleven (11) references.
- (X) International Search Report (PCT/ISA/210).
- (X) International Application As Published (WO 2005/050273 A1).
- (X) Form PCT/IB/306 (corrected version) in four (4) pages.

10/579459

**NATIONAL PHASE
UTILITY APPLICATION**
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Under 35 USC 371

Attorney Docket No.: WATAB5.001APC
First Named Inventor: Katsuhiko Arai
Int'l Application No.: PCT/JP2004/017665
Int'l Filing Date: November 17, 2004
Priority Date Claimed: November 19, 2003
Title: OPTICAL CONNECTION STRUCTURE AND OPTICAL
CONNECTION METHOD
Express Mail Label No.: EV 319 018 531 US

AP2005-1111/PTO 16 MAY 2006

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Page 2 of 2

(X) International Application Request Form in five (5) pages.

(X) Return prepaid postcard.

FILING FEES:

| FEE CALCULATION | | | |
|--|-------------|----------------------|-----------------|
| FEE TYPE & DETERMINATION | | LARGE FEE | TOTAL |
| Basic Filing Fee under 1.492(a) | | 1631 (\$300) | \$300 |
| Search Fee under 1.492(b) (enter one in TOTAL column) | | | |
| (1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4) | | 1640 (\$0) | \$400 |
| (2) USPTO was the ISA (noncompliant claims) | | 1641 (\$100) | |
| (3) Foreign Search Report Enclosed | | 1642 (\$400) | |
| (4) No Search Report Enclosed | | 1632 (\$500) | |
| Examination Fee under 1.492(c) (enter one in TOTAL column) | | | |
| (1) USPTO was ISA/IPEA & claims satisfy Art. 33 (1)-(4) | | 1643 (\$0) | \$200 |
| (2) Non US IPEA or Noncompliant Claims | | 1633 (\$200) | |
| Excess Claims | 28 - 20 = 8 | 1615 (\$50) | 8 x 50 = \$400 |
| Excess Ind. Claims | 4 - 3 = 1 | 1614 (\$200) | 1 x 200 = \$200 |
| Assignment | | 8021 (\$40) | 1 x 40 = \$40 |
| | | TOTAL FEE DUE | \$1,540 |
| TOTAL FEE PAID (If Different Than Fee Due) | | | \$1,540 |

(X) A check in the amount of **\$1,540** to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.



Katsuhiko Arai
Registration No. 43,315
Attorney of Record
Customer No. 20,995
(949) 760-0404

AP20 Rec'd PCT/PTO 16 MAY 2006

Katsuhiro Arai
Japanese Patent Attorney
E-mail: karai@kmob.com

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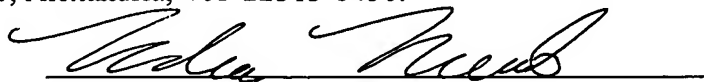
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Attorney Docket No. : WATAB5.001APC
Applicant(s) : SUZUKI, et al.
For : OPTICAL CONNECTION STRUCTURE AND
OPTICAL CONNECTION METHOD
Attorney : Katsuhiro Arai
"Express Mail" Label No. : EV 319018531 US
Date of Deposit : May 16, 2006

I hereby certify that the accompanying

Transmittal letter; Specification in 67 pages; 26 sheets of Drawings; Preliminary Amendment in 8 pages; Declaration and Power of Attorney in 2 pages; Assignment and Recordation Form Cover Sheet in 3 pages; Information Disclosure Statement, PTO Form PTO/SB/08 Equivalent with 11 references; International Search Report (PCT/ISA/210); Form PCT/IB/306 (corrected version); International Application Request Form; International Application As Published (WO 2005/050273 A1); \$1,540 Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Nelson Merida

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